

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address; COMMISSIONER FOR PATENTS P.O. SON 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/657,808

09/08/2003

Nabeel Agha Riza

062699-037

**CONFIRMATION NO. 1124** 

20301

BEUSSE BROWNLEE WOLTER MORA & MAIRE, P. A.

390 NORTH ORANGE AVENUE

SUITE 2500

ORLANDO, FL 32801

FORMALITIES LETTER

\*OC000000011491234\*

Date Mailed: 12/15/2003

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FEB 0 9 2004

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$210 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$660 for a Small Entity

02/11/2004 MBERHE 00000005 10657808

01 FC:2001 385.00 OP 02 FC:2051 65.00 OP 03 FC:2201 129.00 OP 04 FC:2202 81.00 OP

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$210
  - \$129 for 3 independent claims over 3.
  - \$81 for 9 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of:

Applicants: Nabeel Agha Riza

Examiner:

Not Assigned

Serial No.:

10/657,808

Art Unit:

2877

Filed:

09/08/2003

Confirmation No. 1124

For: Digital Optical Beam Profiler

MAIL STOP MISSING PARTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION **FILING DATE GRANTED**

In response to the Notice To File Missing Parts Of Application, Filing Date Granted, dated as mailed December 15, 2003 and having a period of response to and including February 15, 2004, enclosed are the following items required for filing in the above-referenced U.S. Patent Application:

- 1. Formalities Letter (Confirmation No. 1124)
- A check in the amount of \$660.00 for the filing fee of \$385.00; surcharge, 2. \$65.00; independent claims over 3, \$129.00; and 9 total claims over 20. \$81.00.
- 3. Oath and Declaration

Respectfully submitted,

James H. Beusse Reg. No. 27,115

Beusse Brownlee Wolter Mora & Maire, P.A.

390 N. Orange Avenue, Suite 2500

Orlando, FL 32801 Phone: (407) 926-7701

# **CERTIFICATE OF MAILING**

I HEREBY CERTIFY that this <u>Response To Notice to File Missing Parts of Non-Provisional Application Filing Date Granted</u> is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on, this **3rd** day of **February**, **2004** 

Shirley Goff

PTO/SB/21 (08-03) Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/657,808 Filing Date 09/08/2003 First Named Inventor Nabeel Agha Riza 2877 **Examiner Name** Not Assigned

Tota	al Number of Pages in 1	This Submission		Attorney Docket	Number	06269	9-037			
$\overline{}$			ENCL	OSURES	Check all that	apply)				
	Fee Attached  Amendment/Reply  After Final			Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address				After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter		
	Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application		Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks				Other Enclosure(s) (please Identify below):  1. Postcard			
Firm	under 37 C	o Missing Parts FR 1.52 or 1.53  SIGNAT		F APPLICAN Mora & Ma		EY, OR	AGENT			
or Individ		H Beusse	1		,					
Signati		ary 3, 2004	gié							
sufficie the dat	by certify that this corent postage as first of the shown below.	rrespondence is bei lass mail in an enve	ing facsir elope add		the USPTO or	deposite	d with the			
Signati	ure	Shirley Goff	3	isle.		11		Date	02/03/2004	

Art Unit

**TRANSMITTAL** 

**FORM** 

(to be used for all correspondence after initial filing)

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE o a collection of information unless it displays a valid OMB control number

# **TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 660.00

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C	omplete if Known
Application Number	10/657,808
Filing Date	09/08/2003
First Named Inventor	Nabeel Agha Riza
Examiner Name	Not Assigned
Art Unit	2877
Attorney Docket No.	062699-037

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:	Large E					
Deposit			Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number		130	2051		Surcharge - late filing fee or oath	65.00
Deposit Account	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name	1053	130	1053	130	Non-English specification	
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments	1812 2	2,520	1812 2	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee	1805 1	. 040*	1005	1 0/0*	Examiner action Requesting publication of SIR after	
to the above-identified deposit account.	1803 1	1,040	1005	1,040	Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254 1	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee 385.00	1255 2	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451 1	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 385.00	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1	1,330	2453	665	Petition to revive - unintentional	
Fee from	1501 1	,	2501		Utility issue fee (or reissue)	
Extra Claims below Fee Paid  Total Claims 29 -20** = 9 x 9 = 81.00	1502	480	2502		Design issue fee	
Independent 6 2** - 3 × 43 +129.00	1503	640	2503		Plant issue fee	
Claims U 13 - U 125.00	1460	130	1460		Petitions to the Commissioner	
Large Entity - Court Entity	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	8021	40	8021	l 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	) 385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims	,510	,,,,	2010	, 300	examined (37 CFR 1.129(b))	$\vdash$
over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 210.00	Other fee (specify)					
**or number previously paid, if greater; For Reissues, see above	*Reduc	ced by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$) 65.0	0

(Complete (if applicable)) SUBMITTED BY Registration No. 27,115 Telephone 407-926-7701 Name (Print/Type) James H. Bensse 02/03/2004 Signature

WARNING/Information on this form may become public. Credit card information should not This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.